

**STATE OF MONTANA  
OFFICE OF THE STATE AUDITOR  
DEPARTMENT OF INSURANCE  
840 HELENA AVE.  
HELENA, MT 59601  
(406) 444-2040**

**UCAA RETALIATORY STATEMENT**

**LIFE/HEALTH, PROPERTY/CASUALTY AND TITLE INSURERS:**

**Schedule of Required Amounts For Minimum Capital or Surplus**

**(Sec. 33-2-109, MCA)**

(1) To qualify for authority to transact any one kind of insurance, as defined in 33-1-25 through 33-1-212, or combinations of kinds of insurance as shown below, an insurer shall possess and maintain unimpaired paid-in capital stock, if a stock insurer, or surplus, if a mutual or foreign reciprocal insurer, in an amount not less than is applicable under the schedules below and shall possess when first authorized to transact insurance any additional funds as surplus as required under 33-2-110:

(b) For insurers licensed on or after October 1, 1999:

Kinds or kinds of insurance		Minimum capital or surplus required
Life .....		\$ 600,000
Disability .....		500,000
Life and Disability .....		750,000
Credit Life and Disability .....		150,000
Property .....		500,000
Marine .....		500,000
Casualty:	All lines except Workers' Compensation .....	500,000
	All lines including Workers' Compensation .....	750,000
Surety .....		500,000
Title .....		500,000
Multiple lines (2 or more: property, casualty, marine or surety) .....		1,000,000
Multiple lines and Disability .....		1,500,000

(3) Capital and surplus requirements must be based upon all the kinds of insurance actually transacted or to transacted by the insurer in any areas in which it operates, whether or not only a portion of the kinds are to be transacted in this state.

(4) A life insurer may also grant annuities without additional capital or additional surplus.

(5) For a credit life and disability insurer that is not a resident domestic insurer as defined in 33-1-201 and 33-1-202, the capital or surplus required by this section is an amount equal to four times the minimum capital or surplus required for credit life and disability pursuant to subsection (1).

**Special Surplus Requirements**

**(Sec. 33-2-110, MCA)**

In addition to the minimum paid-in capital stock (stock insurers) or minimum surplus (mutual and reciprocal insurers) required by 33-2-109, special surplus shall be possessed by insurers as follows:

(1) All insurers which have actively transacted insurance in their state of domicile as an authorized insurer for less than five (5) years, shall possess an additional surplus of one hundred percent (100%) of the capital or surplus required when first authorized in the state of Montana.

(2) Insurers that have actively transacted insurance in one or more states for more than five (5) years, shall possess an additional surplus of fifty percent (50%) of the capital or surplus required when first authorized in the state of Montana.

(3) After authorization, insurers authorized to transact multiple lines in the state of Montana shall at all times have and maintain surplus of not less than \$100,000 in addition to the capital or surplus requirements listed in the schedule above.

(Sec. 33-2-111, MCA)

## Fees and Licenses

(Sec. 33-2-708, MCA)

(1) Application for original Montana Certificate of Authority: Includes filing of all documents required with such application and for issuance of Certificate of Authority.....	\$1900
(2) Annual Continuation of Certificate of Authority (due March 1) .....	\$1900
(3) Reinstatement of Certificate of Authority.....	\$100

(Sec. 33-2-709, MCA)

(Sec. 33-7-117, MCA)

## Fees

\$10 Certificate of Authority continuation fee

(Sec. 33-30-201, MCA)

## Fees

\$25 Annual Statement filing fee

Applicant Name \_\_\_\_\_

NAIC No. \_\_\_\_\_

FEIN: \_\_\_\_\_

STATE OF MONTANA  
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840 HELENA AVE., HELENA, MT 59601  
406-444-2040

RETALIATORY STATEMENT

TO BE COMPLETED BY THE OFFICIAL HAVING SUPERVISION OF INSURANCE IN THE STATE OF  
DOMICILE OF:

\_\_\_\_\_  
Name of Company

\_\_\_\_\_  
Address

A company applying for authority to write the same classes of business in this state would be required to have:

Paid up capital ..... \$ \_\_\_\_\_

Surplus over liabilities ..... \$ \_\_\_\_\_

Surplus to policyholder including capital stock ..... \$ \_\_\_\_\_

A deposit in the domestic state ..... \$ \_\_\_\_\_

A deposit in the applicant state ..... \$ \_\_\_\_\_

A company would be required to pay taxes, fees and other charges as follows:

Fees for admission (itemize)

Annual renewal fees (itemize)

Premium taxes (state basis of computation in detail)

All other charges, taxes and fees, including capital stock tax, fire marshal's tax, etc. (State kinds, basis of computation and dates payable.)

Signature: \_\_\_\_\_

Print Name : \_\_\_\_\_

Title : \_\_\_\_\_

State Department : \_\_\_\_\_

Date : \_\_\_\_\_